

11/27/01
j1135 U.S. PTO

NONPROVISIONAL PATENT
APPLICATION TRANSMITTAL RULE §1.53(b)
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC971 U.S. PTO
09/993500
11/27/01

CUSTOMER NO. 004372

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC
1050 Connecticut Avenue, N.W.,
Suite 400
Washington, DC 20036-5339
Telephone: (202) 857-6000
Facsimile: (202) 638-4810

Docket No. 107317-00037

Date: November 27, 2001

Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a nonprovisional patent application:

For (Title): GAP ADJUSTMENT APPARATUS AND GAP ADJUSTMENT METHOD
FOR ADJUSTING GAP BETWEEN TWO OBJECTS

By (Inventors): Xuan Li

- 43 pages of Specification/Claims 1-24/Abstract are attached.
- Formal drawings (Figs. 1-6B; 6 sheets) are attached.
- A Declaration and Power of Attorney is attached.
- An assignment of the invention to Sumitomo Heavy Industries, Ltd. is attached, along with Form PTO-1595 and a check for \$40.00.
- Priority of foreign applications Nos. 2000-360502 and 2000-312624 filed November 28, 2000 and October 10, 2001, respectively, in Japan is claimed under 35 U.S.C. §119.
- A certified copy of the above corresponding foreign applications is attached.

The filing fee is calculated below and includes claim status after entry of any Preliminary Amendment noted above:

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	24 - 20	= 4
INDEP CLAIMS	11 - 3	= 8
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS		

SMALL ENTITY		LARGE ENTITY	
RATE	FEES	RATE	FEES
	\$ 370		\$ 740
x 9 =		x 18 =	\$ 72
x 42 =		x 84 =	\$ 672
+140 =		+280	
TOTAL		TOTAL	\$ 1484

- Check No. 329967 in the amount of \$1,524.00 (\$1,484.00 for the filing fee and \$40.00 for the Assignment Recordation Fee) is attached. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300.

Respectfully submitted,

Douglas H. Goldhush
Registration No. 33,125

DHG/scc